

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Schepartz Shrader et al

Art Unit: 1645

Application No.: 09/840,085

Examiner:

MAY 01 2003

Date Filed: April 24, 2001

TECH CENTER 1600/2900

Title: DNA &amp; PROTEIN BINDING MINIATURE PROTEINS

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

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on 4-24-03  
Date

Crena Soldano  
Crena Soldano

Commissioner for Patents  
Washington, DC 20231

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith for filing in the above-referenced application are the following:

1. Revocation of Power of Attorney or Authorization of Agent (1 page);
2. POA or Authorization of Agent (1 page);
3. Statement under 37 CFR 3.73(b) (1 page);
4. Change of Attorney Docket Number; and
5. Return Receipt Postcard.

The undersigned authorizes the charging of any additional fees and crediting of any overpayment associated with this communication to our Deposit Account No. 18-1945.

Respectfully submitted,

ROPES AND GRAY

Date: April 24, 2003

By

Patricia Granahan

Patricia Granahan

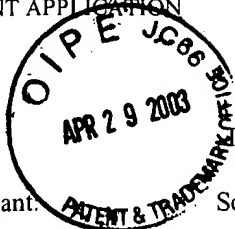
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Telephone: (617) 951-7449

Facsimile: (617) 951-7050

One International Place

Boston, Massachusetts 02110-2804



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on

4/24/03

Date

Crena Soldano

**CHANGE OF ATTORNEY DOCKET NUMBER**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Please note that the Attorney Docket Number has been changed from  
044574-5099-US to YU-P01-021. Please reference YU-P01-021 on all future correspondence.

Respectfully submitted,

ROPES AND GRAY

Date: April 24, 2003

By

Patricia Granahan

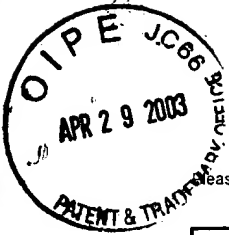
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PTO/SB/82 (10-00)

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|                                                                  |                        |                         |
|------------------------------------------------------------------|------------------------|-------------------------|
| <b>REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b> | Application Number     | 09/840085               |
|                                                                  | Filing Date            | April 24, 2001          |
|                                                                  | First Named Inventor   | Schepartz-Shrader et al |
|                                                                  | Group Art Unit         | 1645                    |
|                                                                  | Examiner Name          |                         |
|                                                                  | Attorney Docket Number | YU-P01-021              |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

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OR

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| Country | Telephone | Fax |  |  |

I am the:

☐ Applicant/Inventor.

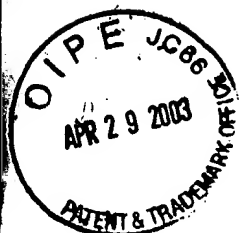
☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

|           |                  |
|-----------|------------------|
| Name      | Dorothy Robinson |
| Signature |                  |
| Date      | 4/21/03          |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 1 forms are submitted.



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|                                                        |                             |                                             |
|--------------------------------------------------------|-----------------------------|---------------------------------------------|
| <b>POWER OF ATTORNEY OR<br/>AUTHORIZATION OF AGENT</b> | <b>Application Number</b>   | 09/840085                                   |
|                                                        | <b>Filing Date</b>          | April 24, 2001                              |
|                                                        | <b>First Named Inventor</b> | Schepartz-Shrader et al                     |
|                                                        | <b>Title</b>                | DNA & PROTEIN BINDING<br>MINIATURE PROTEINS |
|                                                        | <b>Group Art Unit</b>       | 1645                                        |
|                                                        | <b>Examiner Name</b>        |                                             |
|                                                        | <b>Attorney Docket No.</b>  | YU-P01-021                                  |

I hereby appoint:

☐ Practitioners at Customer Number

Customer Number

OR

☒ Practitioner(s) named below:



Customer Number Bar Code

| Name               | Registration Number | Name                     | Registration Number |
|--------------------|---------------------|--------------------------|---------------------|
| Madeline F. Baer   | 36,437              | Gojeb L. Frehywot, Ph.D. | 52,916              |
| Steven Baglio      | 51,426              | Gloria Fuentes           | 47,580              |
| J. Steven Baughman | 47,414              | Gregory Glover           | 34,173              |
| Mark W. Bellomy    | 51,452              | William G. Gosz          | 27,787              |
| Johnny Y. Chen     | 46,614              | Patricia Granahan        | 32,227              |
| James P. Demers    | 34,320              | David P. Halstead, Ph.D. | 44,735              |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

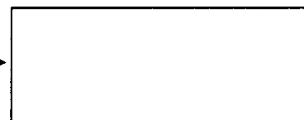
☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

Customer Number

OR



Customer Number Bar Code

|                                                             |                                   |                |                           |
|-------------------------------------------------------------|-----------------------------------|----------------|---------------------------|
| <input checked="" type="checkbox"/> Firm or Individual Name | Patricia Granahan<br>ROPES & GRAY |                |                           |
| <b>Address</b>                                              | One International Place           |                |                           |
| <b>City</b>                                                 | Boston                            | <b>State</b>   | MA                        |
| <b>Country</b>                                              | US                                | <b>Zip</b>     | 02110-2624                |
|                                                             | <b>Telephone</b>                  | (617) 951-7000 | <b>Fax</b> (617) 951-7050 |

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

|                  |                         |
|------------------|-------------------------|
| <b>Name</b>      | Dorothy Robinson        |
| <b>Signature</b> | <i>Dorothy Robinson</i> |
| <b>Date</b>      | 4/21/03                 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ \*Total of 1 forms are submitted.

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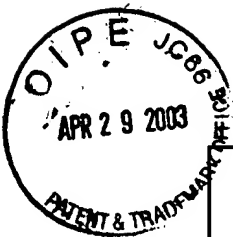
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| <b>POWER OF ATTORNEY OR AUTHORIZATION<br/>OF AGENT<br/>(Supplemental Sheet)</b> |                                | <b>Attorney Docket No.</b> | <b>YU-P01-021</b>              |
|---------------------------------------------------------------------------------|--------------------------------|----------------------------|--------------------------------|
| <b>Name</b>                                                                     | <b>Registration<br/>Number</b> | <b>Name</b>                | <b>Registration<br/>Number</b> |
| Daniel Hansburg                                                                 | 36,156                         |                            |                                |
| Edward J. Kelly                                                                 | 38,936                         |                            |                                |
| Charles Larsen                                                                  | 48,533                         |                            |                                |
| Agnes S. Lee                                                                    | 46,862                         |                            |                                |
| Paul E. Lewkowicz                                                               | 44,870                         |                            |                                |
| Weishi Li                                                                       | 53,217                         |                            |                                |
| Yu Lu                                                                           | 50,306                         |                            |                                |
| Alex Manganiello                                                                | 53,264                         |                            |                                |
| Robert A. Mazzaresse                                                            | 42,852                         |                            |                                |
| Christopher Natkanski                                                           | 50,365                         |                            |                                |
| John D. Quisel                                                                  | 47,874                         |                            |                                |
| Spencer H. Schneider                                                            | 45,923                         |                            |                                |
| Sanjay Sillani                                                                  | 48,489                         |                            |                                |
| Wolfgang E. Stutius                                                             | 40,256                         |                            |                                |
| Anita Varma                                                                     | 43,221                         |                            |                                |
| Matthew P. Vincent                                                              | 36,709                         |                            |                                |
| Dalila Arguez Wendlandt                                                         | 52,351                         |                            |                                |



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Yale University

Application No./Patent No.: 09/840085 Filed/Issue Date: April 24, 2001

Entitled: DNA & PROTEIN BINDING MINIATURE PROTEINS

YALE UNIVERSITY, a University  
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, union, university, government agency, etc.

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states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

MAY 01 2003

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in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012568, Frame 0436, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

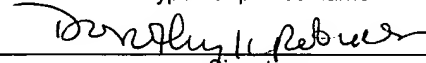
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4/21/03  
Date

Dorothy K. Robinson

Typed or printed name

  
Signature

Vice President & General Counsel

Title